

1 **Multimodal retinal oculomics in schizophrenia: findings from the AlzEye study**

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## 52 Key Points

53 **Question:** Do individuals with schizophrenia have measurable differences in retinal  
54 morphology?

55

56 **Findings:** In this retrospective cohort analysis of 101,416 patients (485 with schizophrenia),  
57 those with schizophrenia had significantly thinner ganglion cell-inner plexiform layers.  
58 Retinovascular differences were mostly attributable to higher medical comorbidity among those  
59 with schizophrenia.

60

61 **Meaning:** These data indicate that individuals with schizophrenia have reduced thickness of the  
62 inner retina, which may indicate heightened neurodegeneration.

## 63 Abstract

64 **Importance:** The potential association of schizophrenia with distinct retinal changes is of  
65 clinical interest but has been challenging to investigate due to lack of sufficiently large and  
66 detailed cohorts.

67

68 **Objective:** To investigate the association between retinal biomarkers from multimodal imaging  
69 (oculomics) and schizophrenia in a large real-world population.

70

71 **Design:** This cross-sectional analysis used data from the AlzEye study, a retrospective cohort  
72 where ophthalmic data of patients attending Moorfields Eye Hospital has been linked with  
73 hospital admissions across England between January 2008 and April 2018.

74

75 **Setting:** A secondary care ophthalmic hospital, incorporating a principal central site, four district  
76 hubs and five satellite clinics in and around London, United Kingdom.

77

78 **Participants:** A total of 154,830 patients aged 40 years and over and had retinal imaging during  
79 the study period.

80

81 **Main outcome and measure:** Retinovascular and optic nerve indices were computed from  
82 color fundus photography. Macular retinal nerve fiber layer (RNFL) and ganglion cell-inner  
83 plexiform layer (mGC-IPL) thicknesses were extracted from optical coherence tomography.  
84 Linear mixed effects models were used to examine the association between schizophrenia and  
85 retinal biomarkers.

86

87 **Results:** A total of 485 individuals (747 eyes) with schizophrenia (mean age  $64.9 \pm 12.2$  years,  
88 53.2% female) and 100,931 individuals (165,400 eyes) without schizophrenia (mean age  $65.9 \pm$   
89  $13.7$ , 51.2% female) were included following image quality control and exclusion of potentially  
90 confounding conditions. Individuals with schizophrenia were more likely to be hypertensive  
91 (83.9% vs 48.0%) and have diabetes mellitus (75.1% vs 27.6%). The schizophrenia group had  
92 thinner mGC-IPL (-4.05 microns, 95% CI: -5.40,-2.69,  $p=5.4 \times 10^{-9}$ ), which persisted when  
93 investigating only those without diabetes mellitus (-3.99 microns, 95% CI: -6.67,-1.30,  $p=0.004$ )  
94 or just those aged 55 years and younger (-2.90 microns, 95% CI: -5.55,-0.24,  $p=0.033$ ). On  
95 adjusted analysis, retinal fractal dimension, among vascular variables was reduced in individuals  
96 with schizophrenia (-0.14 units, 95% CI: -0.22,-0.05,  $p=0.001$ ) although this was not present  
97 when excluding those with diabetes mellitus.

98

99 **Conclusions and relevance:** Patients with schizophrenia have measurable differences in neural  
100 and vascular integrity of the retina. Differences in retinal vasculature were mostly secondary to  
101 the higher prevalence of diabetes and hypertension in patients with schizophrenia. The role of  
102 oculomic biomarkers as adjunct outcomes in patients with schizophrenia warrants further  
103 investigation.

104 [349 words]

105

## 106 Introduction

107 Schizophrenia, a chronic heterogenous neuropsychiatric disorder with an estimated global  
108 prevalence of 23 million people in 2019<sup>1</sup>, is increasingly recognised as a multisystemic disease<sup>2</sup>  
109 with bidirectional dysregulation. Features of endocrine dysfunction, such as impaired glucose  
110 tolerance, are present at the first episode of psychosis<sup>3,4</sup> and shared genetic mechanisms have  
111 been implicated in diabetes mellitus and psychosis<sup>5</sup>. Treatment with antipsychotics and  
112 unhealthy lifestyle practices contribute to a high prevalence of metabolic syndrome among  
113 individuals with schizophrenia<sup>6</sup>. Following diagnosis, affected individuals are also more likely to  
114 experience cardiovascular disease and premature cognitive decline<sup>7-9</sup> with some researchers  
115 positing an association between schizophrenia and accelerated senescence<sup>10</sup>.

116  
117 The eye provides a promising non-invasive route to elucidating multisystem dysregulation in  
118 mammals. As an embryological extension of the primitive forebrain, the eye represents an easily  
119 accessible window to direct quantitative imaging of central nervous system tissue through the  
120 retinal ganglion cells, nerve fibre layer (i.e. ganglion cell axons) and optic nerve. In addition,  
121 shared characteristics between retinal vascular morphology and other microvascular systems,  
122 such as those found in the heart, kidney and brain, reinforce the hypothesis that retinal imaging-  
123 based oculoscopy can stratify individuals by risk of cardiovascular disease, renal failure and  
124 cerebrovascular disease<sup>11-16</sup>. Retinal changes have also been observed in individuals with  
125 schizophrenia. Two recent meta-analyses concluded that there was evidence for thinner  
126 peripapillary retinal nerve fiber layer and macular ganglion cell and inner plexiform layer (mGC-  
127 IPL) and enlarged cup-to-disc ratio (CDR) but acknowledged an inconsistency in results and low  
128 statistical power<sup>17,18</sup>. For example, across six reports, significant mGC-IPL thinning was found in

129 schizophrenia but only when evaluating right eyes. Optic cup volume is significantly larger in  
130 schizophrenia spectrum disorders (SSD) but cup-to-disc area ratio is similar to controls.  
131 Preliminary reports also indicate changes in the density of retinal microvasculature in  
132 schizophrenia<sup>19-21</sup>. However, most reports exclude participants with other systemic diseases,  
133 such as diabetes mellitus and hypertension (both of which impair retinal structure and function),  
134 yet these medical comorbidities are highly prevalent in SSD, challenging the generalizability of  
135 any findings.

136  
137 In this analysis drawing on the AlzEye cohort, we investigated associations between  
138 schizophrenia and retinal morphology using cross-sectional multimodal imaging in a cohort of  
139 101,416 patients ( $n=485$  with schizophrenia) in London, United Kingdom (UK). We  
140 hypothesized that individuals with schizophrenia would have enlarged CDR and reduced inner  
141 retinal thicknesses, above that which could be explained by the presence of hypertension and  
142 diabetes mellitus.

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## 147 Methods

### 148 Design, participants and setting

149 This analysis used data from the AlzEye project, a retrospective cohort study with individual-  
150 level linkage between ophthalmic data and hospital admissions across England of 353,157  
151 participants (154,830 with retinal imaging) who attended Moorfields Eye Hospital NHS  
152 Foundation Trust (MEH) between January 1<sup>st</sup> 2008 and April 1<sup>st</sup> 2018 (described previously<sup>22</sup>).  
153 In brief, participants were aged 40 years or over and had attended MEH, a secondary ophthalmic  
154 institution serving an ethnically diverse region of London, UK. Ophthalmic data was  
155 deterministically linked with the Hospital Episode Statistics (HES) Admitted Patient Care  
156 Database, a repository of all hospital admissions under the National Health Service (NHS) within  
157 England<sup>23</sup>, which captures > 97% of all hospital admissions in England<sup>24</sup>. HES is coded using the  
158 10<sup>th</sup> revision of the International Classification of Diseases (ICD)<sup>25</sup>. The primary objective was  
159 to assess whether prevalent schizophrenia was associated with a larger CDR and thinner mGC-  
160 IPL and RNFL compared to controls. We additionally investigated whether retinal vascular  
161 morphology differed in those with schizophrenia.

162

### 163 Variables

164 The dependent variables were retinal morphological features derived from macula-centred colour  
165 fundus photography (CFP) and optical coherence tomography (OCT) (Figure 1). OCT is a non-  
166 contact imaging modality, which measures back-scattered light and echo time delay (analogous  
167 to ultrasound but using light) to generate cross-sectional images of tissue with histological-like  
168 resolution (axial resolution ~5 microns). Retinal vascular morphometric characteristics,  
169 including fractal dimension, and CDR were extracted from 45-degree CFPs using two deep



170 learning-based tools - the Vessel Assessment and Measurement Platform for Images of the  
171 REtina (VAMPIRE) and AutoMorph<sup>26,27</sup>. For retinal sublayers, we only examined mGC-IPL and  
172 RNFL, defined according to the International Nomenclature for OCT panel<sup>28</sup>. Thicknesses were  
173 estimated using the Topcon Advanced Boundary Segmentation Tool (TABS, version 1.6.2.6), a  
174 software leveraging dual-scale gradient information for automated segmentation of retinal  
175 sublayers<sup>29</sup>. All retinal images were acquired using Topcon (Topcon Corporation, Tokyo, Japan)  
176 devices. Across the study period, five different Topcon devices were used but approximately  
177 80% were collected on a single device, distribution of devices among cases and controls was  
178 similar and the same software version of TABS was used on all images (eTable1). Images from  
179 both eyes, where available, were used.

180  
181 The primary exposure was schizophrenia, defined as an HES episode with ICD code F20. HES-  
182 based diagnostic codes for schizophrenia in the UK have previously been validated and  
183 demonstrated 90% agreement when compared to a psychiatrist-based hierarchical lifetime  
184 diagnosis using longitudinal psychopathology and diagnostic information from individual health  
185 records in London, UK<sup>30</sup>. We used the most recent HES admission codes for defining whether an  
186 individual had schizophrenia as this demonstrated a positive predictive value of 91%. For image  
187 selection, we then chose the earliest “good” or “usable” quality image following a HES episode  
188 with a diagnostic code for schizophrenia to reduce the potential bias imparted by ophthalmic  
189 treatment (e.g. retinal laser). Further information on how image quality is categorised can be  
190 found in AutoMorph’s description<sup>26</sup>. Among those who had multiple images on that same date,  
191 we chose the image with the highest image quality score, as outputted by AutoMorph. Controls  
192 were individuals in the cohort similarly attending MEH and had received retinal imaging during

193 the study period but who did not have an ICD code of schizophrenia (further details available in  
194 our previous report<sup>22</sup>). Secondary exposure variables were age, sex, hypertension (ICD: I10,  
195 I15), diabetes mellitus (ICD: E10, E11) and socioeconomic status (SES). SES was estimated  
196 using the index of multiple deprivation (IMD), a composite score linked to postcode covering  
197 income, employment, education, health, and barriers to housing and services, crime and living  
198 environment<sup>31</sup>. Given some previous evidence of similar retinal findings in mood disorders, we  
199 excluded individuals with ICD codes for bipolar affective disorder (F30-F31), SSD (other than  
200 schizophrenia, F21-F29) and unipolar depression (F32-F33)<sup>30,32,33</sup>

201

## 202 [Statistical analysis](#)

203 Continuous variables were compared between groups using the Wilcoxon-Mann-Whitney test  
204 and categorical variables through the *U*-Statistic test<sup>34</sup>. We fitted linear mixed effects models  
205 using maximum likelihood estimation in line with the Advised Protocol for OCT Study  
206 Terminology and Elements (APOSTEL) recommendations<sup>35</sup>. These models included random  
207 effects on the intercept to account for the multilevel structure of eyes within individuals, and  
208 were adjusted for age, sex, diabetes mellitus, hypertension, socioeconomic status and image  
209 quality. Sex, diabetes mellitus and hypertension were coded as categorical variables for  
210 modelling. We adjusted for image quality as this has been found previously to be associated with  
211 certain retinal vascular features<sup>36</sup>. Degrees of freedom were estimated using Satterthwaite's  
212 approximation<sup>37</sup>. We performed two subgroup analyses. Firstly, given the high prevalence of  
213 diabetes mellitus among individuals with schizophrenia and its impact on retinal vasculature, and  
214 to mitigate the risk of residual confounding conferred by comparing individuals with mild  
215 diabetes mellitus to those with more severe disease or those who had received retinal laser

216 treatment, we performed all analyses on a subgroup excluding individuals with diabetes mellitus.  
217 Secondly, to examine the association in younger individuals with schizophrenia, we performed  
218 an additional analysis stratifying individuals in the cohort to those <55 and ≥55 years of age.  
219 Statistical significance was set at  $p < 0.05$ . All analyses were conducted in R version 4.1.0 (R  
220 Core Team, 2021. R Foundation for Statistical Computing, Vienna, Austria) and used the `USP`,  
221 `lmer` and `lmerTest` package<sup>38-40</sup>.

222

223 Reporting is in line with the guidelines set by the Strengthening the Reporting of Observational  
224 Studies in Epidemiology (STROBE) and its extension, the REporting of studies Conducted using  
225 Observational Routinely-collected health Data (RECORD) statements<sup>41,42</sup>.

226

## 227 [Approvals](#)

228 Data from this project were derived from the AlzEye study, which received institutional and  
229 ethical review board approval including an exemption of informed consent (REC reference:  
230 18/LO/1163).

231

## 232 Results

233 Of the initial sample of 154,830, 485 individuals (747 eyes) with schizophrenia and 100,931  
234 individuals (165,400 eyes) without had macula-centered images deemed of sufficient image  
235 quality and met our inclusion criteria (Figure 2). Individuals with schizophrenia had a similar  
236 distribution of age and sex to those without the condition but were more likely to have  
237 hypertension (83.9% versus 48.0%,  $p < 0.001$ ), diabetes mellitus (75.1% versus 27.6%,  $p < 0.001$ )  
238 and lived in areas of greater deprivation (Table 1). On unadjusted analysis, individuals with  
239 schizophrenia had significantly reduced fractal dimension, vessel density, tortuosity density and  
240 increased arteriolar and venular calibre (all  $p < 0.001$ ). In addition, they had reduced mGC-IPL  
241 and RNFL thickness. The schizophrenia group had slightly larger CDR ( $0.47 \pm 0.09$  versus  $0.46$   
242  $\pm 0.09$ ,  $p < 0.001$ ) but a similar prevalence of glaucoma (Table 1).

243  
244  
245  
246 Adjusting for age, sex, SES and image quality, schizophrenia was associated with reduced mGC-  
247 IPL thickness, reduced fractal dimension, reduced vessel density, greater tortuosity density and  
248 enlarged CDR (Table 2). There was no association between schizophrenia and RNFL. When  
249 additionally adjusting for hypertension and diabetes mellitus, there was no association between  
250 schizophrenia and retinovascular characteristics except VAMPIRE-based fractal dimension (-  
251  $0.14$ , 95% CI:  $-0.22$ ,  $-0.05$ ],  $p = 0.001$ ). Individuals with schizophrenia maintained a larger CDR  
252 ( $0.01$ , [0.00, 0.02],  $p = 0.041$ ) and thinner mGC-IPL ( $-4.05$  microns, 95% CI:  $-5.40$ ,  $-2.69$ ,  $p = 5.4 \times$   
253  $10^{-9}$ ). Increasing age was associated with thinner mGC-IPL in both the schizophrenia and control  
254 groups. In those with schizophrenia, mGC-IPL was 3.20 microns (95% CI:  $-4.40$ ,  $-1.99$ ,  $p = 3.4 \times$   
255  $10^{-7}$ ) thinner while in those without schizophrenia, the mGC-IPL was 2.54 microns (95% CI: -

256 2.62, -2.46,  $p < 2.0 \times 10^{-16}$ , eTable 2) thinner per ten years of age. On adjusted analysis, we found  
257 no significant difference in RNFL between those with schizophrenia and those without.

258

259 Restricting the analysis to individuals without diabetes mellitus left a sample of 121 individuals  
260 (192 eyes) with schizophrenia and 73,574 controls (122,673 eyes, eTable 3). A strong  
261 association persisted between mGC-IPL and schizophrenia (-3.99 microns, 95% CI: -6.67, -1.30,  
262  $p=0.004$ ); the schizophrenia group no longer had enlarged CDR. No retinovascular indices were  
263 associated with schizophrenia in this subgroup.

264

265 We next stratified the cohort into those aged  $<55$  and  $\geq 55$  years (eTable 4). Regardless of age,  
266 mGC-IPL was reduced in those with schizophrenia; however, the effect estimate was more  
267 extreme for older patients (younger group: -2.90 microns, 95% CI: -5.55, -0.24,  $p=0.033$ , older  
268 group: -4.43 microns, 95% CI: -6.00, -2.85,  $p=3.6 \times 10^{-8}$ , Table 3). Reduced fractal dimension  
269 (VAMPIRE system) was seen in those with schizophrenia in both the older (-0.11 per SD  
270 increase, 95% CI: -0.20, -0.01,  $p=0.027$ ) and younger (-0.23 per SD increase, 95% CI: -0.41, -  
271 0.04,  $p=0.016$ ) subgroups.

272

## 273 Discussion

274 Among the AlzEye cohort of 101,416 individuals who had eye imaging of sufficient quality for  
275 analysis, people with schizophrenia had thinner mGC-IPL and slightly enlarged CDR compared  
276 to those without schizophrenia after adjustment for multiple demographic and medical factors,  
277 suggesting retinal neural atrophy. However, associations with retinovascular morphology could  
278 be explained by the increased prevalence of hypertension and diabetes mellitus among those with  
279 schizophrenia. Our report is the largest to date to examine multimodal retinal oculosomics in  
280 individuals with schizophrenia and supports evidence of heightened retinal neurodegeneration in  
281 this disease that accelerates with advanced age.

282

## 283 Retinoneural associations with schizophrenia

284 We report evidence of reduced thickness of the inner retinal layers, which would be consistent  
285 with a neurodegenerative process in schizophrenia. The effect size for mGC-IPL thickness was  
286 similar to what has been reported in the literature on Alzheimer's disease<sup>43,44</sup> and prominent even  
287 when people with diabetes mellitus were excluded. A link between schizophrenia and mGC-IPL  
288 has been proposed but with inconsistent evidence thus far. In a meta-analysis of seven studies  
289 comprising 453 participants, thinner mGC-IPL was associated with schizophrenia but only in  
290 right eyes<sup>17</sup>. In another meta-analysis of three studies comprising 169 participants with SSD,  
291 mGC-IPL thickness was reduced but significance was lost when excluding one published report  
292 and the overall quality of evidence was deemed to be very low<sup>18</sup>.

293

294 There are several biologically plausible reasons for the thinner mGC-IPL we observed in  
295 schizophrenia. Firstly, mGC-IPL thinning may result from a central neurodegeneration which,  
296 through retrograde trans-synaptic degeneration (RTSD), manifests as inner retinal thinning, such  
297 as that found in multiple sclerosis, ischaemic stroke and chiasmal compression<sup>45-47</sup>. Some have  
298 advocated RTSD as the mechanism for inner retinal thinning in Alzheimer's disease and other  
299 forms of dementia, diseases which are more common in people with schizophrenia, however  
300 conclusive evidence for this in schizophrenia is lacking<sup>7,48-50</sup>. Our subgroup analysis showed a  
301 more modest reduction in mGC-IPL among younger individuals with schizophrenia compared to  
302 those older in the cohort corroborating evidence from other disciplines of accelerated  
303 neurodegeneration. Affected individuals have progressive gray and white matter volume loss,  
304 beyond that of healthy controls<sup>51</sup> and gene expression patterns suggest accelerated molecular  
305 ageing<sup>52</sup>. Even in the absence of confounding anti-psychotic therapy, individuals with  
306 schizophrenia show exaggerated cognitive decline<sup>53</sup>. Further evidence for a neurodegenerative  
307 phenomenon in schizophrenia comes from data on a different biomarker for neurodegeneration,  
308 neurofilaments, which were significantly increased in the blood of affected individuals<sup>54,55</sup>.  
309 Findings on retinoneural structure in those presenting with a first episode of psychosis have thus  
310 far been conflicting. While some have found no observable differences in retinal sublayer  
311 thicknesses<sup>56</sup>, others have identified reductions in total retinal thickness and visual cortex gray  
312 matter volume in small samples<sup>57</sup>. Future work should assess the relationship between mGC-IPL  
313 thinning and other indices of accelerated ageing in schizophrenia, such as gene expression and  
314 blood neurofilament protein levels.

315

316 Alternatively, mGC-IPL thinning may result from bidirectional multisystemic associations with  
317 schizophrenia. Chronic psychosis is associated with a greater prevalence of systemic  
318 comorbidities, such as hypertension, which influence mGC-IPL thickness<sup>58</sup> and adjustment for  
319 medical comorbidities and age diminishes effect estimates between retinal thickness and  
320 schizophrenia<sup>59</sup>. Furthermore, schizophrenia has well-established epidemiological and genetic  
321 co-distribution with metabolic dysfunction<sup>3-5</sup> and there is increasing evidence that retinal  
322 thinning may pre-date overt diabetes mellitus<sup>60,61</sup>. In our sensitivity analysis, we excluded all  
323 patients with diabetes mellitus during the study period to mitigate this; however it is conceivable  
324 that individuals within our population had early or undiagnosed metabolic syndrome. The  
325 finding that individuals with first-episode psychosis exhibit an initially accelerated but self-  
326 limiting decline in retinal thinning and brain gray matter has also led some to hypothesise a  
327 pharmacological aetiology for degeneration<sup>62</sup>. Finally, even certain health behaviours and  
328 lifecourse exposures, which may be more frequent in schizophrenia, are linked with reduced  
329 mGC-IPL. For example, alcohol misuse is highly prevalent among those with schizophrenia<sup>63</sup>  
330 and is known to lead to thinner mGC-IPL<sup>64</sup>.

331

## 332 Retinovascular associations with schizophrenia

333 We noted an apparent association between schizophrenia and reduced fractal dimension,  
334 increased tortuosity and increased vascular calibre; however these differences were mostly  
335 accounted for by diabetes mellitus and hypertension. Appaji and Rao also noted increased  
336 tortuosity and wider venules, but found increased retinal fractal dimension and narrower  
337 arterioles<sup>32,65,66</sup>. The reasons likely relate to our contrasting study populations. While our cohort



338 consisted of older patients (mean age 64.9 years) attending an ophthalmic hospital, Appaji et al  
339 studied younger participants (early 30s) in a community setting and excluded those with  
340 significant medical comorbidity. Retinal metrics are known to differ between those with chronic  
341 disease and those recovering from a first episode of psychosis<sup>56</sup>. Recent investigations using  
342 OCT angiography (OCTA), a newer modality providing visualization of retinal vessel density  
343 and perfusion, highlight the complex relationship between disease duration and retinovascular  
344 indices. While several reports have shown reduced microvascular vessel density in  
345 schizophrenia<sup>19,20,67</sup>, another has shown increased superficial vessel density in early-course  
346 patients<sup>68</sup> leading some to hypothesise that layer-specific changes may occur as disease  
347 progresses<sup>21</sup>. Further analyses should investigate the association between retinovascular and  
348 retinal layer changes. Incorporating longitudinal analyses would shed light on the temporal  
349 dynamics of retinovascular changes in psychosis.

350

351 A novel aspect of our work was the use of state-of-the-art retinal image analysis tools for fully  
352 automated extraction of retinovascular features in schizophrenia. We used two separate deep  
353 learning-based models - the VAMPIRE fractal dimension estimation module, based on a robustly  
354 validated U-Net segmentation algorithm developed by the Universities of Dundee and  
355 Edinburgh<sup>69,70</sup> and AutoMorph, an openly available fully automated pipeline for the extraction of  
356 retinal features<sup>26</sup>. Rejection rate based on image quality was similar to previous reports using  
357 retinal imaging<sup>71,72</sup>. Given the challenges in the agreement between different segmentation  
358 tools<sup>27</sup>, we can have greater confidence in our findings on retinal fractal dimension where results  
359 by two independent fully automated segmentation systems.

360

361 This study should be considered within the broader limitations of retrospective observational  
362 research. Firstly, there are likely confounders which we could not adjust due to a lack of data.  
363 For example, smoking is more prevalent among individuals with psychosis<sup>73</sup> and is known to  
364 affect retinal vasculature<sup>74</sup>. Secondly, our case definition of schizophrenia was based on ICD  
365 codes from hospital admissions data which may be prone to misclassification bias. However, our  
366 strategy for identifying individuals with schizophrenia was such that any misclassification bias  
367 would likely underestimate our effect measure<sup>30</sup>. Thirdly, the average age and prevalence of  
368 medical comorbidities, such as diabetes mellitus, of individuals with schizophrenia was  
369 relatively high in our study and as such our findings may not reflect the situation in younger  
370 patients without other systemic diseases presenting with a first episode of psychosis<sup>19</sup>. However,  
371 given the corroboration of our results with other studies where similar associations were found in  
372 younger groups and those with medical comorbidities excluded, the possibility of a unique  
373 sample effect seems unlikely.

374

375 In conclusion, we show that individuals with schizophrenia have both altered retinovascular  
376 indices and thinner mGC-IPL. While the former was accounted for by comorbid diabetes  
377 mellitus and hypertension, we found independent associations with thinner inner retinal features  
378 similar to those observed in other neurodegenerative conditions, such as multiple sclerosis and  
379 Alzheimer's disease<sup>75</sup>. The absence of some of these findings in younger individuals presenting  
380 with a first episode of psychosis supports a neurodegenerative mechanism which could relate to a  
381 primary degenerative phenomenon or secondary to metabolic impairment. Longitudinal analyses,  
382 which incorporate multimodal imaging and ancillary investigations of neurodegeneration, such  
383 as the blood neurofilament protein concentration and gene expression, are needed to elucidate the

384 developmental course of these changes<sup>19,56</sup>. Further investigations are warranted into whether  
385 oculomic biomarkers could help characterise disease course, predict treatment response or even  
386 risk-stratify those patients most at risk of developing cognitive decline, cardiovascular disease  
387 and other devastating sequelae of schizophrenia.

## 388 Author Contributions

389 Dr Wagner and Professor Keane had full access to all of the data in the study and take  
390 responsibility for the integrity of the data and the accuracy of the data analysis.

391

392 *Concept and design:* Wagner, Cortina-Borja, Silverstein, Alexander, Pontikos, Denniston, Rahi,  
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409 National and international collaborations are welcomed however the data are subject to the  
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412 access beyond the AlzEye research team. Researchers should contact the Chief Investigator at  
413 [p.keane@ucl.ac.uk](mailto:p.keane@ucl.ac.uk).

414

## 415 Conflict of Interest Disclosures

416 Professor Trucco, Dr MacGilivray, Mr Hogg and Dr Mookiah are developers of the VAMPIRE  
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638 **Figure Legends**

639 Figure 1: Retinal images representing optical coherence tomography with the retinal nerve fibre  
640 layer and macular ganglion cell-inner plexiform layer indicated (A), the nine regions of the  
641 ETDRS grid centred on the fovea (B) and an example colour fundus photograph (C). Note that  
642 for variables from optical coherence tomography, only measurements from the inner ETDRS  
643 regions were included.

644 C: Centre, II: inner inferior, IN: inner nasal, IS: inner superior, IT: inner temporal, mGC-IPL:  
645 macular ganglion cell-inner plexiform layer, OI: outer inferior, ON: outer nasal, OS: outer  
646 superior, OT: outer temporal. RNFL: retinal nerve fibre layer.

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648 Figure 2: Flow chart of included patients with patient-level and image-level inclusion and  
649 exclusion criteria detailed.

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# Tables

	Characteristic	Schizophrenia (n=485)	No schizophrenia (n=100, 931)	p-value <sup>1</sup>
<b>Demographics</b>	Age (years)	64.9 ± 12.2	65.9 ± 13.7	0.08
	Female sex (n (%))	258 (53.2)	53,253 (51.2)	0.37
	Socioeconomic status (1=most deprived)	4.1 ± 2.3	5.3 ± 2.6	<0.001
<b>Comorbidity</b>	Hypertension (n (%))	407 (83.9)	49,971 (48.0)	<0.001
	Diabetes mellitus (n (%))	364 (75.1)	28,762 (27.6)	<0.001
	Glaucoma (n (%))	38 (7.8)	7,602 (7.3)	0.71
	Age-related macular degeneration (n (%))	19 (3.9)	5,322 (5.3)	0.18
	Cataract (n (%))	123 (25.4)	20,383 (20.2)	0.007
<b>CFP</b>	Image quality	0.59 ± 0.34	0.51 ± 0.35	<0.001
	Cup-disc ratio <sup>3</sup>	0.47 ± 0.09	0.46 ± 0.09	<0.001
	Arteriolar calibre (µm)	65.1 ± 8.4	63.6 ± 8.0	<0.001
	Venular calibre (µm)	73.5 ± 10.1	72.0 ± 9.2	<0.001
	Fractal dimension	1.46 ± 0.06	1.47 ± 0.05	<0.001
	Fractal dimension (VAMPIRE) <sup>4</sup>	1.51 ± 0.03	1.52 ± 0.03	<0.001
	Vessel density	0.072 ± 0.013	0.073 ± 0.012	0.027
	Distance tortuosity	3.48 ± 1.3	3.41 ± 1.2	0.58
	Tortuosity density	0.71 ± 0.04	0.70 ± 0.04	<0.001
<b>OCT</b>	RNFL (µm)	26.6 ± 18.5	26.7 ± 13.4	<0.001
	mGC-IPL (µm)	77.4 ± 16.8	82.4 ± 16.1	<0.001

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Table 1: Baseline and summary statistics for the cohort. Results are shown at the level of the individual - those from retinal imaging represent the means of the two eyes. Except where indicated, all characteristic results are shown as mean ± standard deviation.

<sup>1</sup> p-values were obtained using the Mann-Whitney-Wilcoxon test for continuous variables and the U-Statistic permutation test of independence for categorical variables.

<sup>2</sup> Socioeconomic status was missing for no individuals with schizophrenia and 343 individuals without schizophrenia.

<sup>3</sup> Optic nerve measurements were available for 450 individuals with schizophrenia and 93,045 without.

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<sup>4</sup> Note that for VAMPIRE, data from 443 individuals with schizophrenia and 105,413 controls were available.  
CFP: Colour fundus photography, OCT: optical coherence tomography, mGC-IPL: macular ganglion cell-inner plexiform layer, RNFL: retinal nerve fibre layer

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Table 2: Adjusted associations between prevalent schizophrenia and retinal oculomic biomarkers from colour fundus photography and optical coherence tomography. All characteristics from colour fundus photography are derived from AutoMorph except where indicated.

Modality	Characteristic	Model 1 <sup>1</sup>		Model 2 <sup>2</sup>		Non-diabetic subgroup <sup>3</sup>	
		Regression coefficient	<i>p</i> -value	Regression coefficient	<i>p</i> -value	Regression coefficient	<i>p</i> -value
CFP	CDR (ratio)	0.01 (0.01, 0.02)	<b>6.0 × 10<sup>-4</sup></b>	0.01 (0.00, 0.02)	0.041	0.01 (0.00, 0.03)	0.08
	Arteriolar calibre (per SD)	0.11 (0.03, 0.19)	<b>0.010</b>	0.04 (-0.04, 0.12)	0.34	0.09 (-0.07, 0.25)	0.28
	Venular calibre (per SD)	0.08 (0.00, 0.16)	<b>0.048</b>	0.02 (-0.06, 0.10)	0.65	0.13 (-0.02, 0.29)	0.10
	Fractal dimension (per SD)	-0.17 (-0.24, -0.11)	<b>2.4 × 10<sup>-7</sup></b>	-0.05 (-0.11, 0.02)	0.14	-0.11 (-0.24, 0.02)	0.10
	Fractal dimension (VAMPIRE) (per SD)	-0.27 (-0.35, -0.19)	<b>1.1 × 10<sup>-10</sup></b>	-0.14 (-0.22, -0.05)	<b>0.001</b>	-0.05 (-0.21, 0.11)	0.56
	Vessel density (per SD)	-0.15 (-0.22, -0.09)	<b>1.3 × 10<sup>-7</sup></b>	-0.06 (-0.12, 0.01)	0.11	-0.09 (-0.23, 0.05)	0.21
	Distance tortuosity (per SD)	0.02 (-0.05, 0.09)	0.60	0.00 (-0.01, 0.15)	0.96	-0.04 (-0.21, 0.07)	0.55
	Tortuosity density (per SD)	0.12 (0.05, 0.20)	<b>0.002</b>	0.07 (-0.02, 0.14)	0.08	0.05 (-0.11, 0.20)	0.55
OCT	RNFL (µm)	-0.37 (-1.49, 0.75)	0.52	-0.29 (-1.41, 0.84)	0.61	-1.02 (-3.22, 1.18)	0.36
	mGC-IPL (µm)	-4.87 (-6.22, -3.51)	<b>2.1 × 10<sup>-12</sup></b>	-4.05 (-5.40, -2.69)	<b>5.4 × 10<sup>-9</sup></b>	-3.99 (-6.67, -1.30)	<b>0.004</b>

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<sup>1</sup>Adjusted for age, sex, socioeconomic status, and image quality.

<sup>2</sup>Adjusted for age, sex, socioeconomic status, diabetes mellitus, hypertension and image quality.

<sup>3</sup> For AutoMorph and TABS, this was 121 individuals with schizophrenia and 75,627 without. For VAMPIRE, this was 104 (165 eyes) individuals with schizophrenia and 67,416 (111,915 eyes) controls. Adjustment is the same as for model 2 without diabetes mellitus.

CDR: cup-disc ratio, CFP: colour fundus photography, mGC-IPL: macular ganglion cell-inner plexiform layer, OCT: optical coherence tomography, RNFL: retinal nerve fibre layer, SD: standard deviation



		Younger subgroup <sup>1</sup>		Older subgroup <sup>2</sup>	
Modality	Characteristic	Regression coefficient	<i>p</i> -value	Regression coefficient	<i>p</i> -value
CFP	CDR (ratio)	0.01 (0.00, 0.03)	0.19	0.01 (0.00, 0.02)	0.12
	Arteriolar calibre (per SD)	0.17 (0.00, 0.34)	<b>0.046</b>	0.01 (-0.09, 0.10)	0.87
	Venular calibre (per SD)	0.09 (-0.08, 0.25)	0.31	-0.01 (-0.10, 0.08)	0.89
	Fractal dimension (per SD)	0.14 (-0.01, 0.28)	0.06	-0.09 (-0.16, -0.01)	<b>0.025</b>
	Fractal dimension (VAMPIRE) (per SD)	-0.23 (-0.41, -0.04)	<b>0.016</b>	-0.11 (-0.20, -0.01)	<b>0.027</b>
	Vessel density (per SD)	0.08 (-0.07, 0.23)	0.28	-0.08 (-0.16, -0.01)	0.037
	Distance tortuosity (per SD)	-0.02 (-0.17, 0.13)	0.79	0.00 (-0.09, 0.08)	0.95
	Tortuosity density (per SD)	-0.01 (-0.26, 0.06)	0.23	0.11 (0.02, 0.20)	<b>0.017</b>
OCT	RNFL (µm)	-0.08 (-2.11, 1.96)	0.94	-0.48 (-1.82, 0.86)	0.48
	mGC-IPL (µm)	-2.90 (-5.55, -0.24)	<b>0.033</b>	-4.43 (-6.00, -2.85)	<b>3.6 × 10<sup>-8</sup></b>

Table 3. Adjusted associations between prevalent schizophrenia and retinal oculomic biomarkers from colour fundus photography and optical coherence tomography stratified by age. All characteristics from colour fundus photography are derived from AutoMorph except where indicated. Models were Adjusted for age, sex, socioeconomic status, diabetes mellitus, hypertension and image quality.

<sup>1</sup>For AutoMorph and TABS, this was 111 individuals (181 eyes) with schizophrenia and 24,847 (44,159) without. For VAMPIRE, this was 100 (166 eyes) with schizophrenia and 23,657 (41,984 eyes) controls.

<sup>2</sup>For AutoMorph and TABS, this was 342 individuals (566 eyes) with schizophrenia and 66,761 (121,241 eyes) without. For VAMPIRE, this was 308 individuals (466 eyes) with schizophrenia and 67,760 (106,958 eyes) controls.

CDR: cup-disc ratio, CFP: colour fundus photography, mGC-IPL: macular ganglion cell-inner plexiform layer, OCT: optical coherence tomography, RNFL: retinal nerve fibre layer, SD: standard deviation

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